

# Large Non-Gaussianity in Axion Inflation

Marco Peloso, University of Minnesota

Neil Barnaby, M.P., PRL 106, 181301 (2011)

Neil Barnaby, Ryo Namba, M.P., JCAP 009, 1104, (2011)

# Large Non-Gaussianity in Axion Inflation

Marco Peloso, University of Minnesota

Neil Barnaby, M.P., PRL 106, 181301 (2011)

Neil Barnaby, Ryo Namba, M.P., JCAP 009, 1104, (2011)

- Effect of  $\frac{\alpha}{f} \phi F \tilde{F}$  on primordial perturbations

# Large Non-Gaussianity in Axion Inflation

Marco Peloso, University of Minnesota

Neil Barnaby, M.P., PRL 106, 181301 (2011)

Neil Barnaby, Ryo Namba, M.P., JCAP 009, 1104, (2011)

- Effect of  $\frac{\alpha}{f} \phi F \tilde{F}$  on primordial perturbations
- $f \sim 10^{-2} \alpha M_p \rightarrow$  detectable non-gaussianity of characteristic ( $\sim$  equilateral) shape

- Virtue of inflation: **simplest models** (single, standard kinetic term, slowly rolling field) **work !** Unobservable primordial non-gaussianity.
- **Non-gaussianity**  $\leftrightarrow$  **inflaton interactions**.  $\phi$  not free: (i) gravity; (ii) reheating; make sure compatible with the flatness of  $V(\phi)$

- Virtue of inflation: **simplest models** (single, standard kinetic term, slowly rolling field) **work !** Unobservable primordial non-gaussianity.
- **Non-gaussianity**  $\leftrightarrow$  **inflaton interactions**.  $\phi$  not free: (i) gravity; (ii) reheating; make sure compatible with the flatness of  $V(\phi)$

By itself, flatness stringent requirement:

For example  $\Delta V = \frac{\lambda}{4} \phi^4$  ,  $\lambda \simeq 10^{-13}$  . Or, for example, even higher dim.

Planck – suppressed operators can spoil inflaton  $V = e^{\frac{\phi^2}{M_p^2}} \left( DW^2 - \frac{3W^2}{M_p^2} \right)$

- Virtue of inflation: **simplest models** (single, standard kinetic term, slowly rolling field) **work !** Unobservable primordial non-gaussianity.
- **Non-gaussianity**  $\leftrightarrow$  **inflaton interactions**.  $\phi$  not free: (i) gravity; (ii) reheating; make sure compatible with the flatness of  $V(\phi)$

By itself, flatness stringent requirement:

For example  $\Delta V = \frac{\lambda}{4} \phi^4$  ,  $\lambda \simeq 10^{-13}$  . Or, for example, even higher dim.

Planck – suppressed operators can spoil inflaton  $V = e^{\frac{\phi^2}{M_p^2}} \left( DW^2 - \frac{3W^2}{M_p^2} \right)$

- Here, single field slow roll inflation, for which coupling to “matter” provides large non-gaussianity, while  $V(\phi)$  **controllably flat**.

# QCD axion $\rightarrow$ Inflaton axion

$$\text{QCD instantons} \rightarrow \begin{cases} \Delta\mathcal{L} = \frac{-g^2}{16\pi^2} \theta F \tilde{F} \\ V = \Lambda^4 [1 - \cos\theta] \end{cases}$$

Limit neutron electric dipole moment  $\Rightarrow \theta \lesssim 10^{-10}$

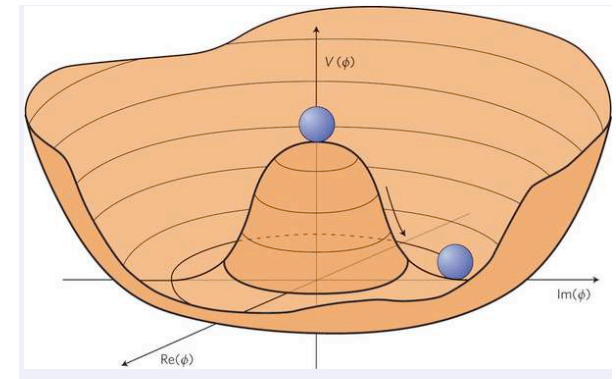
# QCD axion $\rightarrow$ Inflaton axion

$$\text{QCD instantons} \rightarrow \begin{cases} \Delta\mathcal{L} = \frac{-g^2}{16\pi^2} \theta F \tilde{F} \\ V = \Lambda^4 [1 - \cos\theta] \end{cases}$$

Limit neutron electric dipole moment  $\Rightarrow \theta \lesssim 10^{-10}$

Peccei, Quinn '77: Chiral U(1) symmetry  
spontaneously broken  $\Phi = (f + \rho) e^{i\phi/f}$

Symmetry is anomalous  $\Rightarrow \theta \rightarrow \theta + \frac{\phi}{f}$





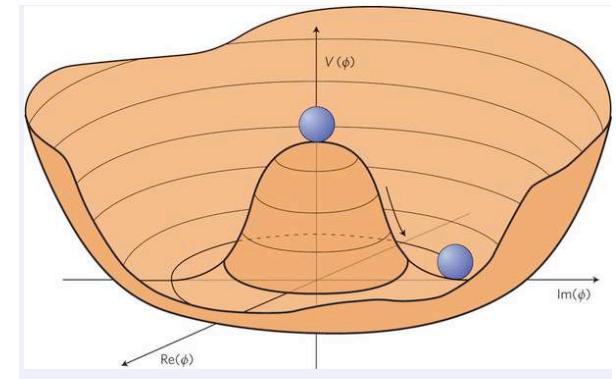
# QCD axion $\rightarrow$ Inflaton axion

$$\text{QCD instantons} \rightarrow \begin{cases} \Delta\mathcal{L} = \frac{-g^2}{16\pi^2} \theta F \tilde{F} \\ V = \Lambda^4 [1 - \cos\theta] \end{cases}$$

Limit neutron electric dipole moment  $\Rightarrow \theta \lesssim 10^{-10}$

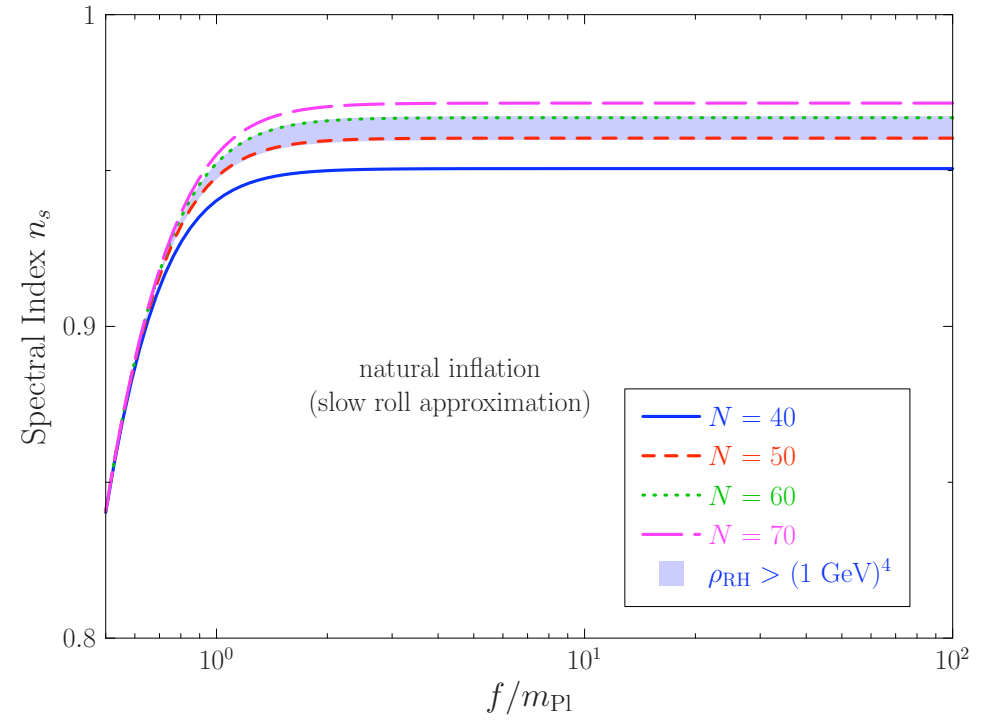
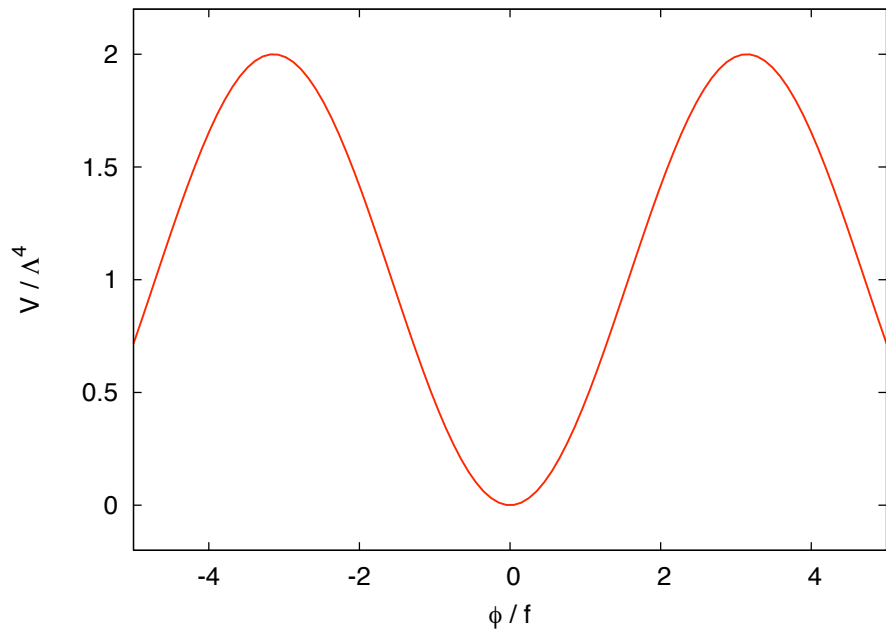
Peccei, Quinn '77: Chiral U(1) symmetry spontaneously broken  $\Phi = (f + \rho) e^{i\phi/f}$

Symmetry is **anomalous**  $\Rightarrow \theta \rightarrow \theta + \frac{\phi}{f}$



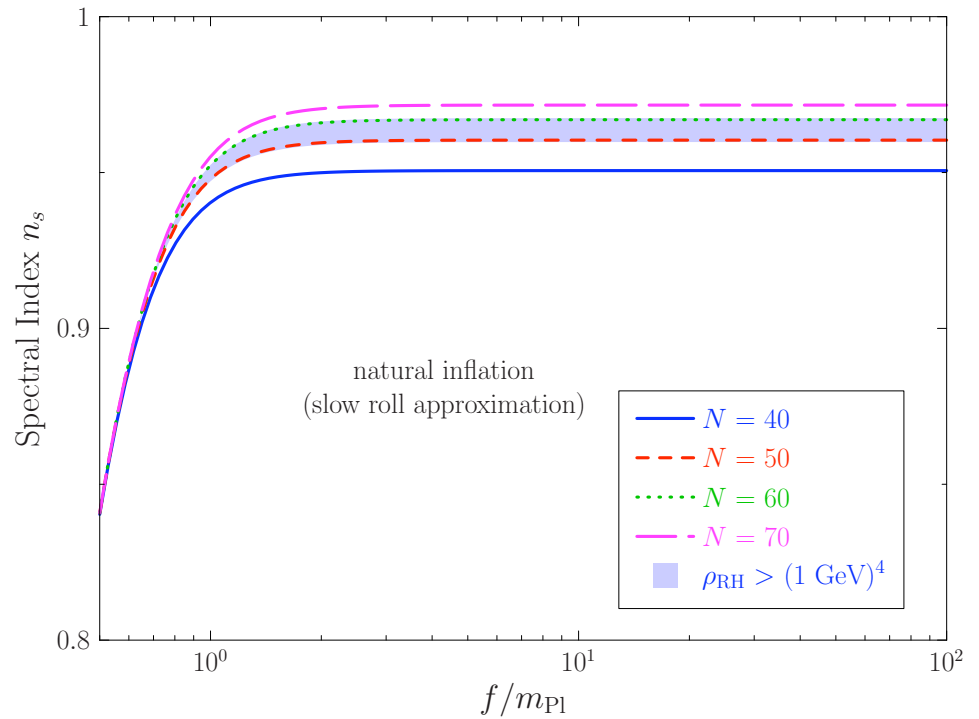
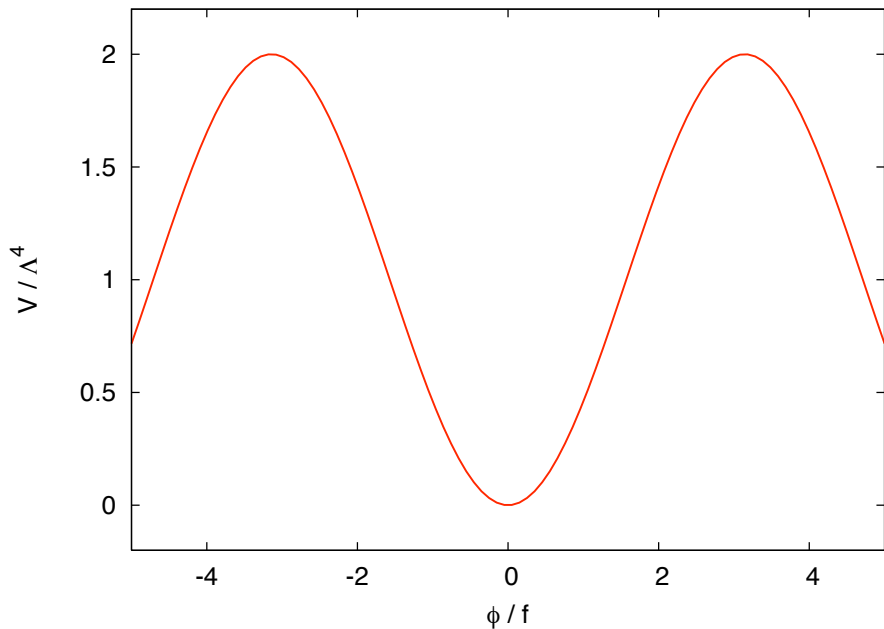
- Smallness of  $\Lambda$  is **technically natural**. No perturbative ~~shift~~
- UV completion ( $\rho$ ) relevant only at scales  $> f$
- $\phi$  only derivatively coupled

# Natural Inflation: Freese, Frieman, Olinto '90



Savage, Freese, Kinney '06

# Natural Inflation: Freese, Frieman, Olinto '90



Savage, Freese, Kinney '06

## Problems with $f > M_p$

- $U(1)_{PQ}$  broken above QG scale
- Hard in weakly coupled string theory

Kalosh, Linde, Linde, Susskind '95

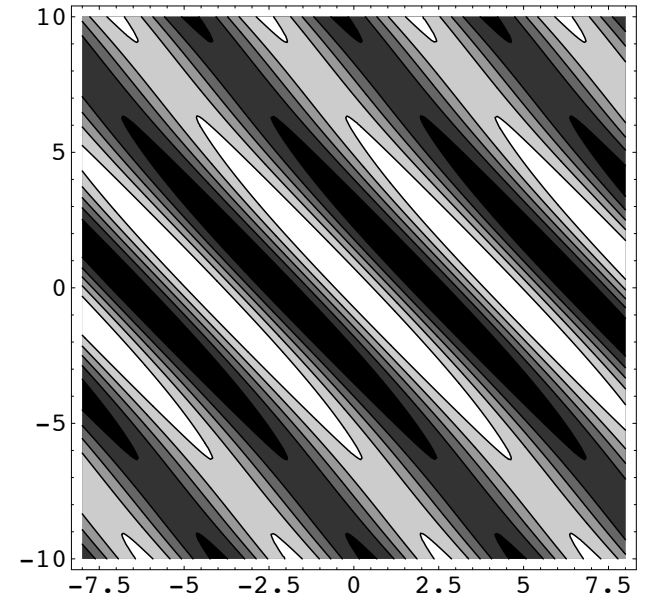
Banks, Dine, Fox, Gorbatoov '03

# Two axions & gauge groups

Kim, Nilles, MP '04

$$V = \Lambda_1^4 \left[ 1 - \cos \left( \frac{\theta}{f_1} + \frac{\rho}{g_1} \right) \right] + \Lambda_2^4 \left[ 1 - \cos \left( \frac{\theta}{f_2} + \frac{\rho}{g_2} \right) \right]$$

$f_{\text{eff}} \gg f, g$  if  $f_1/g_1 \simeq f_2/g_2$

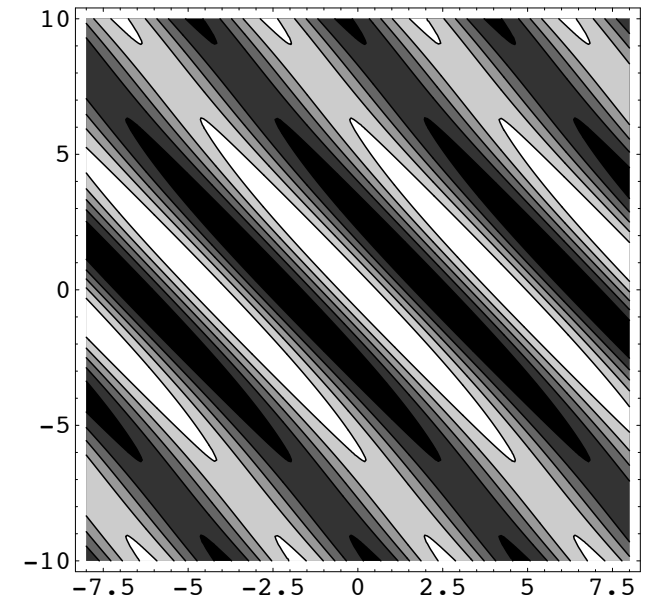


## Two axions & gauge groups

Kim, Nilles, MP '04

$$V = \Lambda_1^4 \left[ 1 - \cos \left( \frac{\theta}{f_1} + \frac{\rho}{g_1} \right) \right] + \Lambda_2^4 \left[ 1 - \cos \left( \frac{\theta}{f_2} + \frac{\rho}{g_2} \right) \right]$$

$$f_{\text{eff}} \gg f, g \text{ if } f_1/g_1 \simeq f_2/g_2$$



## N-flation

Dimopoulos et al '05

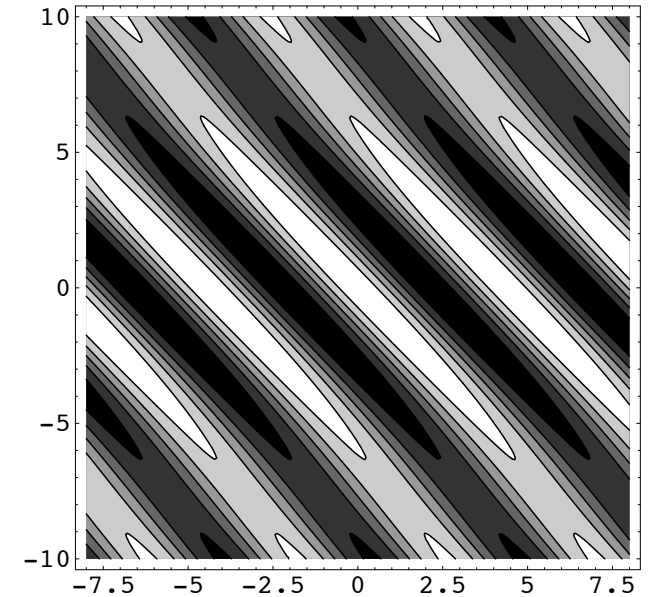
Collectively drive inflation,  $f_{\text{eff}} = \sqrt{N} f$

## Two axions & gauge groups

Kim, Nilles, MP '04

$$V = \Lambda_1^4 \left[ 1 - \cos \left( \frac{\theta}{f_1} + \frac{\rho}{g_1} \right) \right] + \Lambda_2^4 \left[ 1 - \cos \left( \frac{\theta}{f_2} + \frac{\rho}{g_2} \right) \right]$$

$$f_{\text{eff}} \gg f, g \text{ if } f_1/g_1 \simeq f_2/g_2$$



## N-flation

Dimopoulos et al '05

Collectively drive inflation,  $f_{\text{eff}} = \sqrt{N} f$

## Axion monodromy

McAllister, Silverstein, Westphal '08

$\Delta V \propto \phi$  from brane wrapping

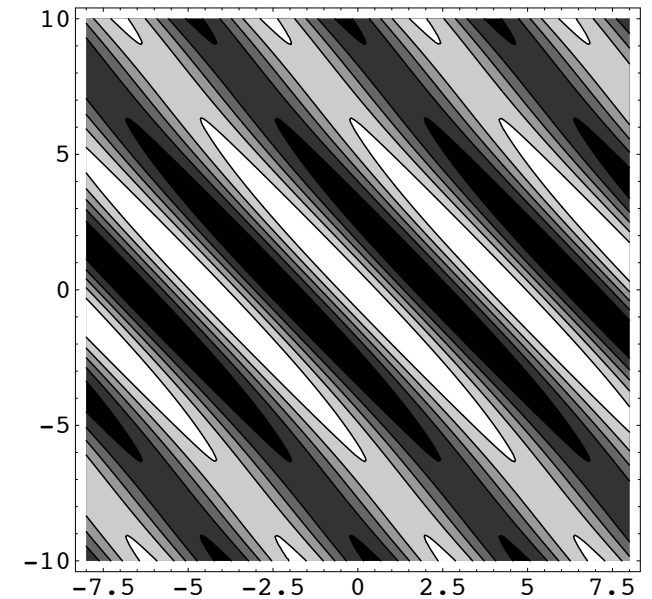
Flauger et al '09

## Two axions & gauge groups

Kim, Nilles, MP '04

$$V = \Lambda_1^4 \left[ 1 - \cos \left( \frac{\theta}{f_1} + \frac{\rho}{g_1} \right) \right] + \Lambda_2^4 \left[ 1 - \cos \left( \frac{\theta}{f_2} + \frac{\rho}{g_2} \right) \right]$$

$$f_{\text{eff}} \gg f, g \text{ if } f_1/g_1 \simeq f_2/g_2$$



## N-flation

Dimopoulos et al '05

Collectively drive inflation,  $f_{\text{eff}} = \sqrt{N} f$

## Axion monodromy

McAllister, Silverstein, Westphal '08

$\Delta V \propto \phi$  from brane wrapping

Flauger et al '09

## Dante's inferno

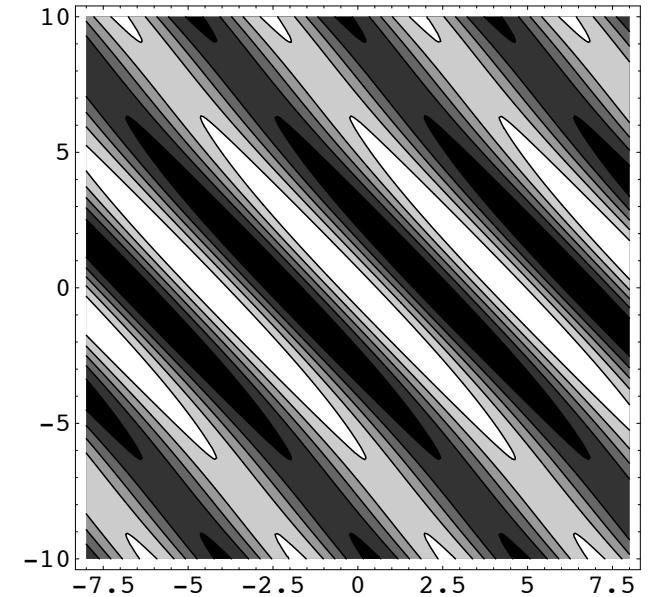
Berg, Pajer, Sjors '09

## Two axions & gauge groups

Kim, Nilles, MP '04

$$V = \Lambda_1^4 \left[ 1 - \cos \left( \frac{\theta}{f_1} + \frac{\rho}{g_1} \right) \right] + \Lambda_2^4 \left[ 1 - \cos \left( \frac{\theta}{f_2} + \frac{\rho}{g_2} \right) \right]$$

$$f_{\text{eff}} \gg f, g \quad \text{if} \quad f_1/g_1 \simeq f_2/g_2$$



## N-flation

Dimopoulos et al '05

Collectively drive inflation,  $f_{\text{eff}} = \sqrt{N} f$

## Axion monodromy

McAllister, Silverstein, Westphal '08

$\Delta V \propto \phi$  from brane wrapping

Flauger et al '09

## Dante's inferno

Berg, Pajer, Sjors '09

## Axion-4form mixing

Kaloper, Sorbo '08

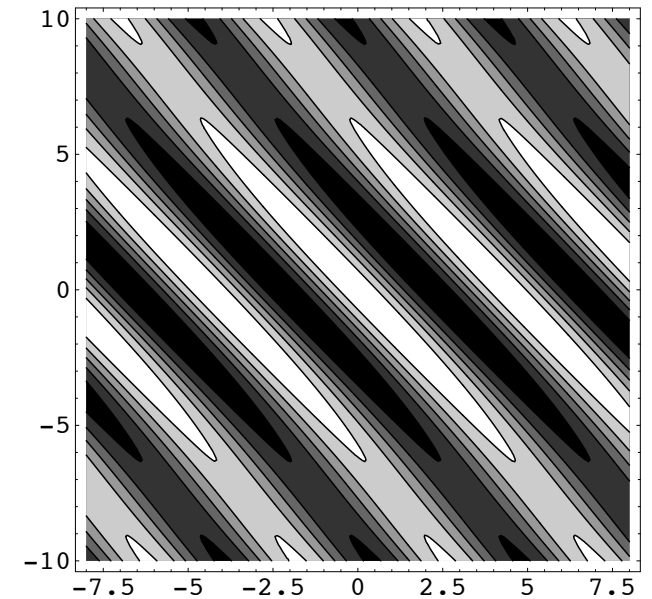


## Two axions & gauge groups

Kim, Nilles, MP '04

$$V = \Lambda_1^4 \left[ 1 - \cos \left( \frac{\theta}{f_1} + \frac{\rho}{g_1} \right) \right] + \Lambda_2^4 \left[ 1 - \cos \left( \frac{\theta}{f_2} + \frac{\rho}{g_2} \right) \right]$$

$$f_{\text{eff}} \gg f, g \text{ if } f_1/g_1 \simeq f_2/g_2$$



## N-flation

Dimopoulos et al '05

Collectively drive inflation,  $f_{\text{eff}} = \sqrt{N} f$

## Axion monodromy

McAllister, Silverstein, Westphal '08

$\Delta V \propto \phi$  from brane wrapping

Flauger et al '09

## Dante's inferno

Berg, Pajer, Sjors '09

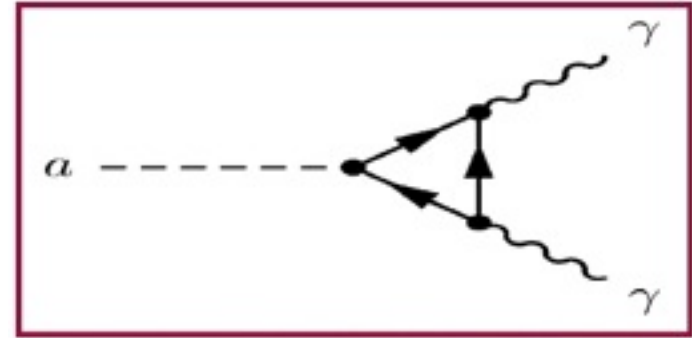
## Axion-4form mixing

Kaloper, Sorbo '08

Controllable realizations of large field inflation ( $V \propto \phi, \phi^2$ ), with  $f \ll M_p$

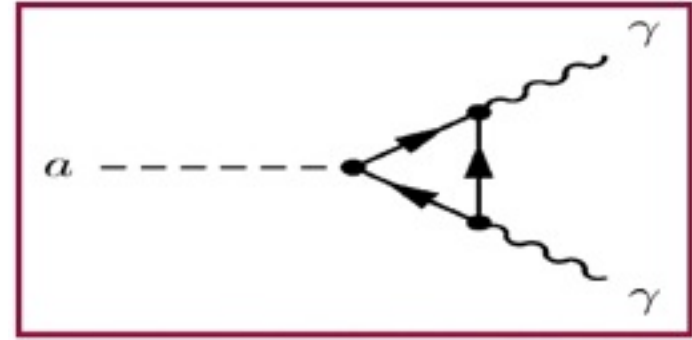
$$\mathcal{L} \supset -\frac{\alpha}{f} \phi F \tilde{F}$$

- Dictated by shift-symmetry and parity
- Generally present, not “extra ingredient”



$$\mathcal{L} \supset -\frac{\alpha}{f} \phi F \tilde{F}$$

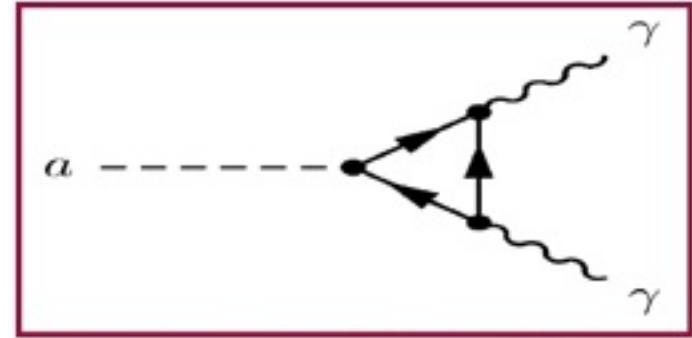
- Dictated by shift-symmetry and parity
- Generally present, not “extra ingredient”



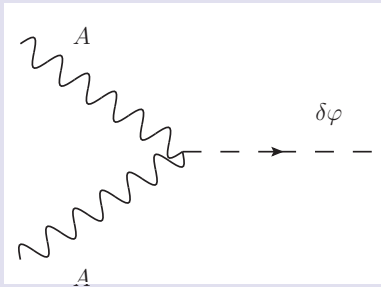
①  $\varphi^{(0)} \rightarrow A + A$ , non-perturbative depletion  $\propto \dot{\varphi}^{(0)}$   
 $\implies$  Exponential growth of  $A$

$$\mathcal{L} \supset -\frac{\alpha}{f} \phi F \tilde{F}$$

- Dictated by shift-symmetry and parity
- Generally present, not “extra ingredient”



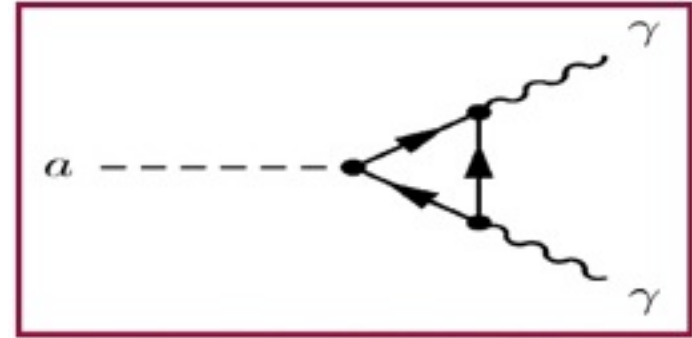
- 1  $\varphi^{(0)} \rightarrow A + A$ , non-perturbative depletion  $\propto \dot{\varphi}^{(0)}$   
 $\implies$  **Exponential growth of  $A$**
- 2  $A + A \rightarrow \delta\varphi$ , inverse decay



$\implies$  **Significant contribution to  $\delta\varphi$ !**

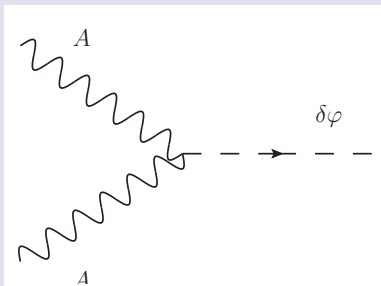
$$\mathcal{L} \supset -\frac{\alpha}{f} \phi F \tilde{F}$$

- Dictated by shift-symmetry and parity
- Generally present, not “extra ingredient”



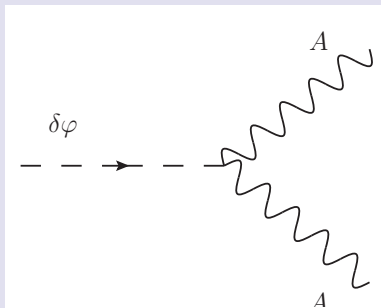
1  $\varphi^{(0)} \rightarrow A + A$ , non-perturbative depletion  $\propto \dot{\varphi}^{(0)}$   
 $\implies$  Exponential growth of  $A$

2  $A + A \rightarrow \delta\varphi$ , inverse decay



$\implies$  Significant contribution to  $\delta\varphi$ !

3  $\delta\varphi \rightarrow A + A$ , perturbative decay



$\implies$  Important only AFTER inflation  
(reheating)

$$\mathcal{L} \supset -\frac{1}{4}F^2 - \frac{\alpha}{f}\phi^{(0)} F \tilde{F}$$

$$A''_{\pm} + \left[ k^2 \mp k \frac{\alpha}{f} \phi^{(0)'} \right] A_{\pm} = 0$$

↑  
helicity

$$\mathcal{L} \supset -\frac{1}{4}F^2 - \frac{\alpha}{f}\phi^{(0)} F \tilde{F}$$

$$A''_{\pm} + \left[ k^2 \mp k \frac{\alpha}{f} \phi^{(0)'} \right] A_{\pm} = 0$$

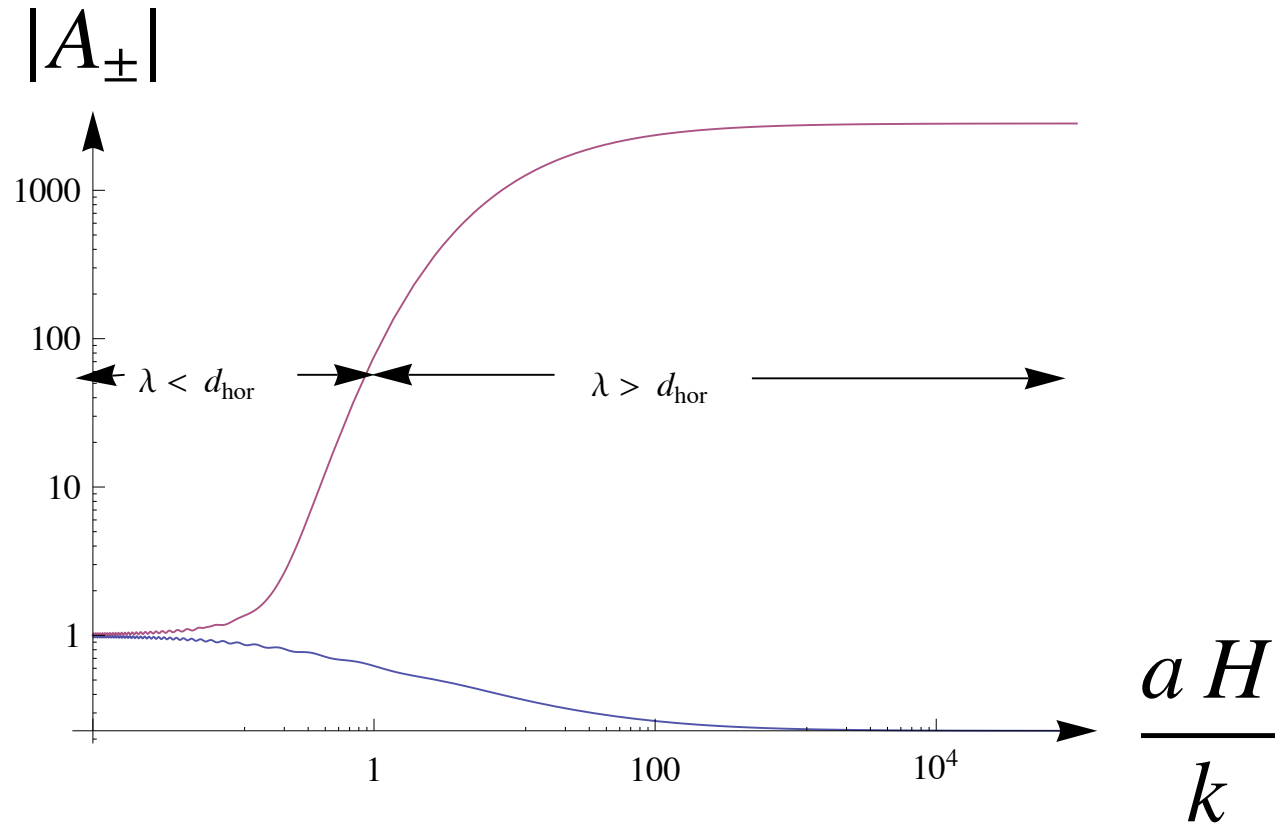
↑ helicity

↑  $\alpha \dot{\phi}^{(0)}$

$$\mathcal{L} \supset -\frac{1}{4}F^2 - \frac{\alpha}{f}\phi^{(0)} F \tilde{F}$$

$$A''_{\pm} + \left[ k^2 \mp k \frac{\alpha}{f} \phi^{(0)'} \right] A_{\pm} = 0$$

↑  
helicity
↑  
 $a \dot{\phi}^{(0)}$

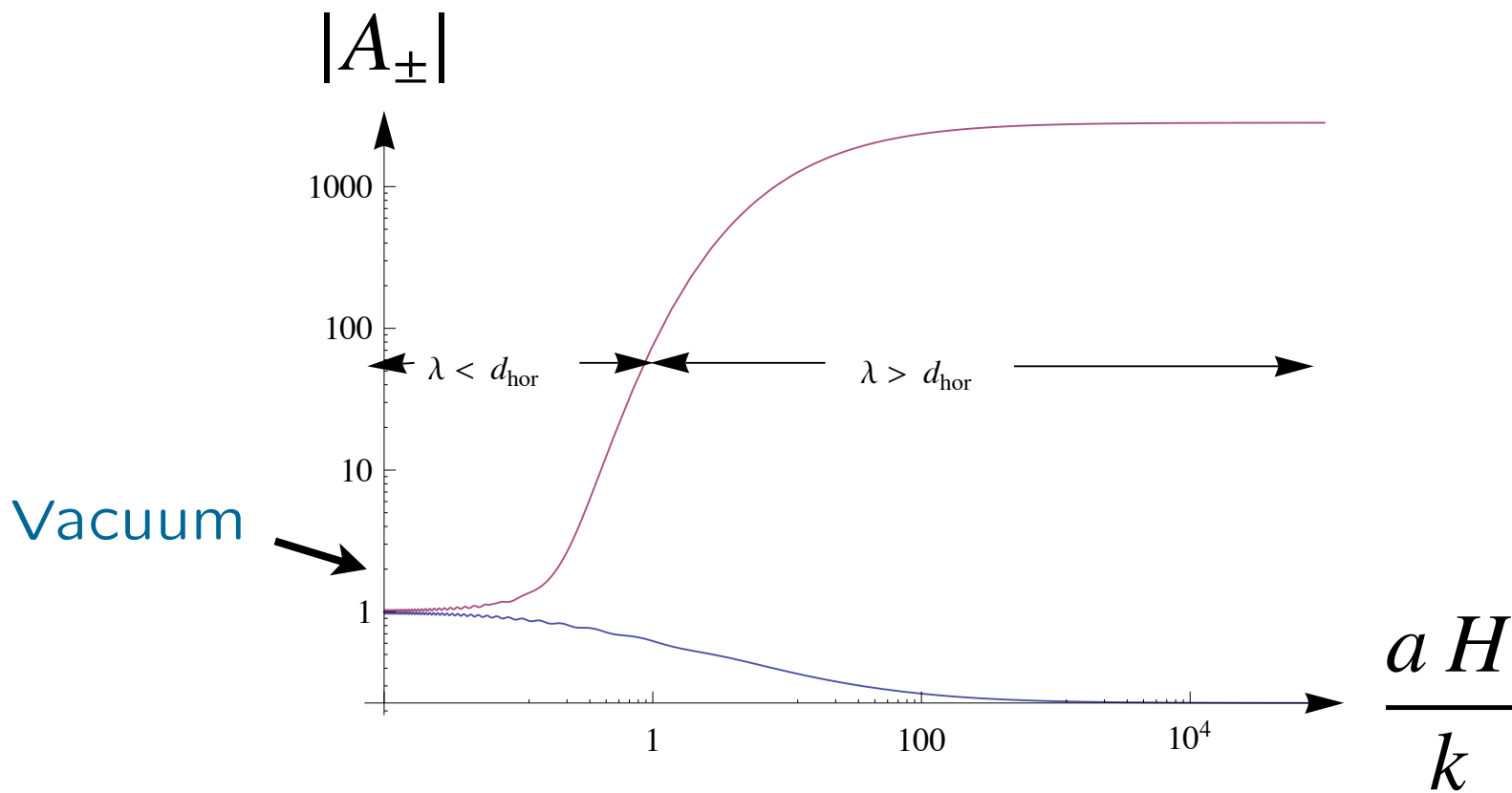




$$\mathcal{L} \supset -\frac{1}{4}F^2 - \frac{\alpha}{f}\phi^{(0)} F \tilde{F}$$

$$A''_{\pm} + \left[ k^2 \mp k \frac{\alpha}{f} \phi^{(0)'} \right] A_{\pm} = 0$$

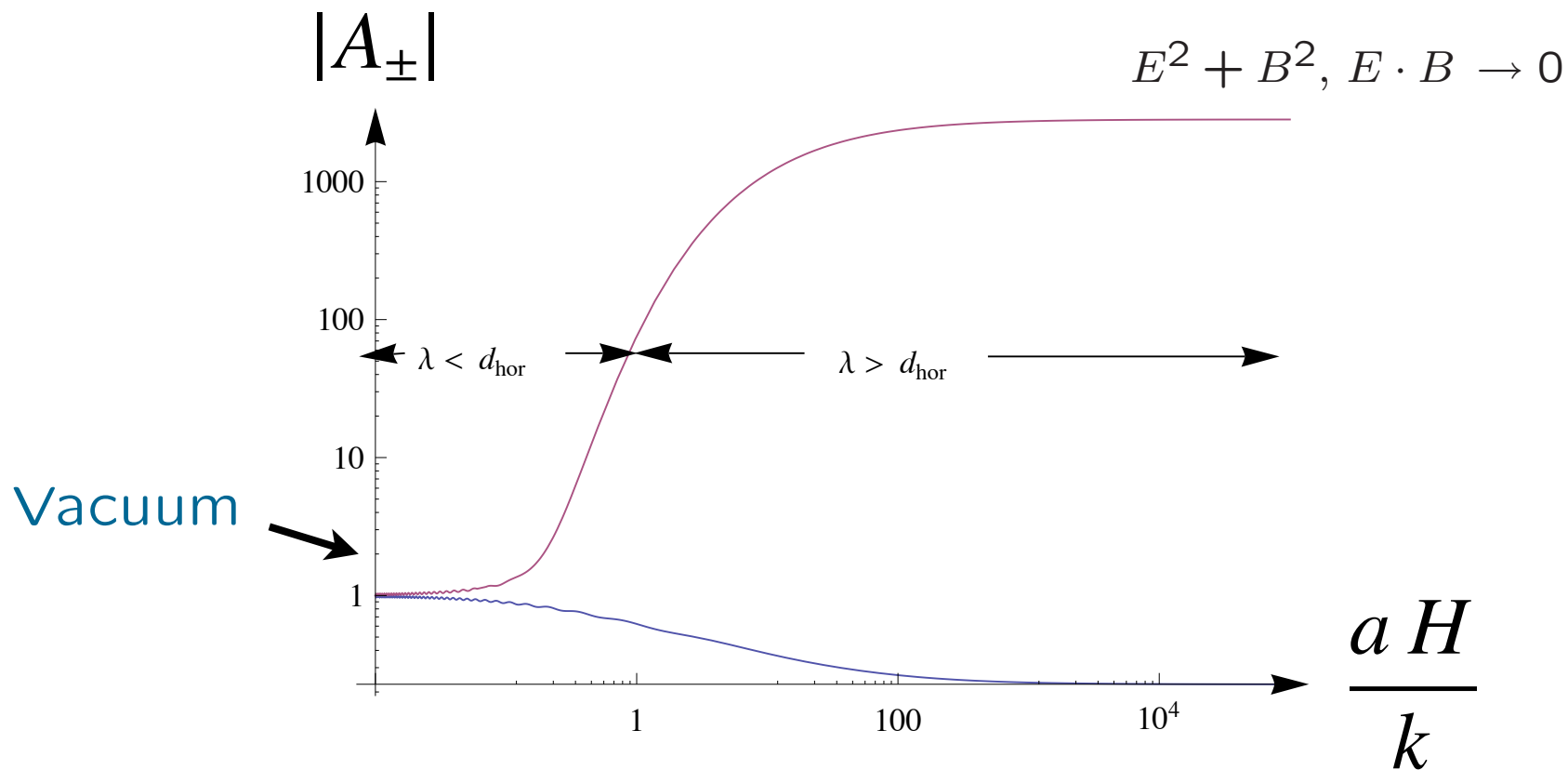
↑  
helicity
↑  
 $a \dot{\phi}^{(0)}$



$$\mathcal{L} \supset -\frac{1}{4}F^2 - \frac{\alpha}{f}\phi^{(0)} F \tilde{F}$$

$$A''_{\pm} + \left[ k^2 \mp k \frac{\alpha}{f} \phi^{(0)'} \right] A_{\pm} = 0$$

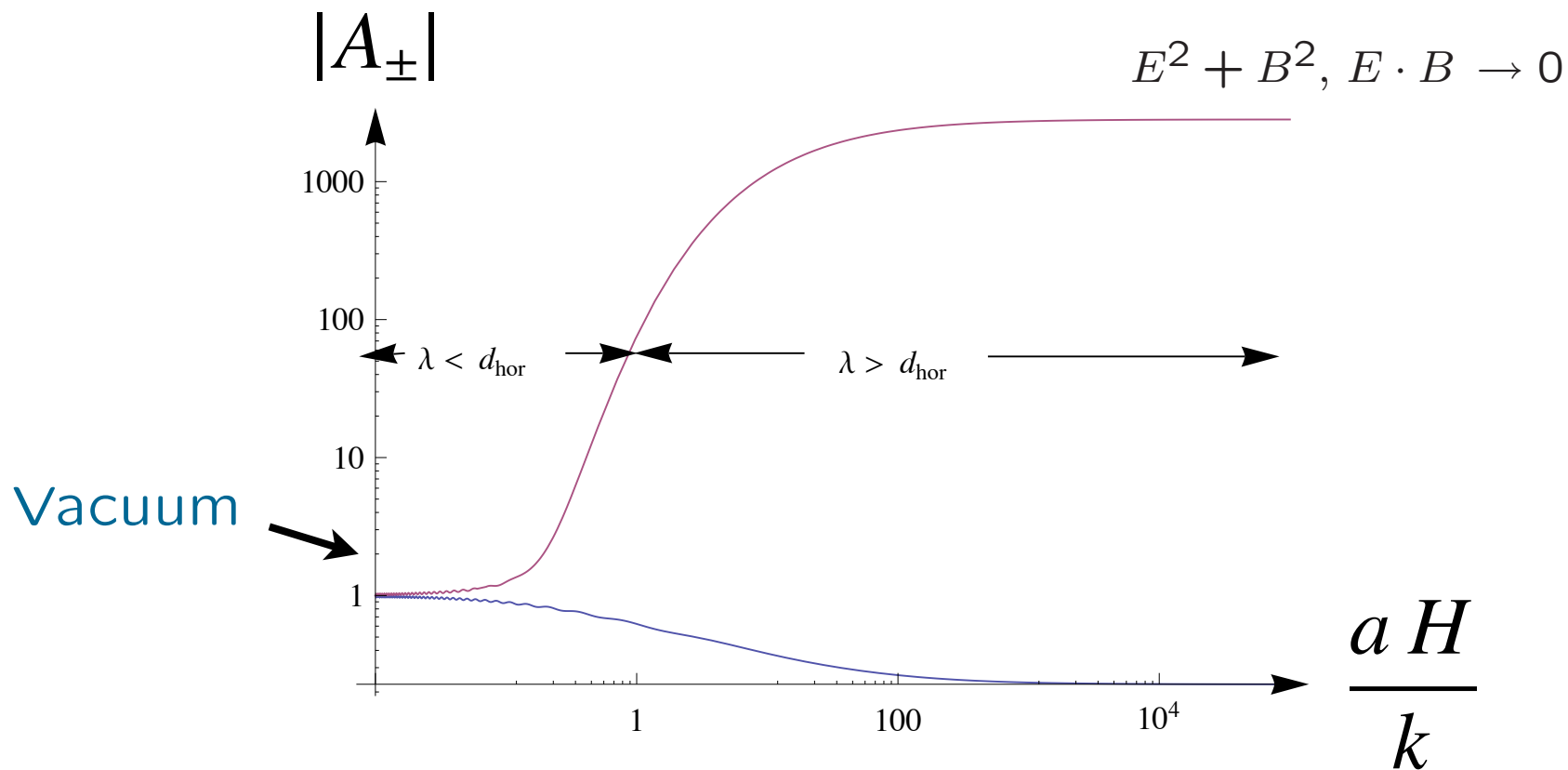
↑  
helicity
↑  
 $a \dot{\phi}^{(0)}$



$$\mathcal{L} \supset -\frac{1}{4}F^2 - \frac{\alpha}{f}\phi^{(0)} F \tilde{F}$$

$$A''_{\pm} + \left[ k^2 \mp k \frac{\alpha}{f} \phi^{(0)'} \right] A_{\pm} = 0$$

↑ helicity
 ↑  $a \dot{\phi}^{(0)}$



Physical effects only from modes  $A_+$  at horizon exit

- $A$  production from  $\phi$  kinetic energy. Resulting friction can be so strong as to facilitate  $\phi$  slow roll. Anber, Sorbo '09
  - We impose negligible backreaction of  $A$  on background dynamics
-

- $A$  production from  $\phi$  kinetic energy. Resulting friction can be so strong as to facilitate  $\phi$  slow roll. Anber, Sorbo '09
- We impose negligible backreaction of  $A$  on background dynamics

---

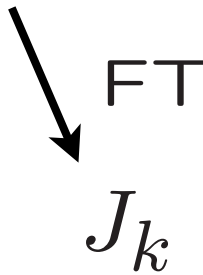
$$\delta\ddot{\phi} + 3H\delta\dot{\phi} - \frac{\vec{\nabla}^2}{a^2}\delta\phi + m^2\delta\phi = \frac{\alpha}{f}F^{\mu\nu}\tilde{F}_{\mu\nu}$$

$$\delta\phi = \delta\phi_{\text{vacuum}} + \delta\phi_{\text{inv.decay}}$$

- A production from  $\phi$  kinetic energy. Resulting friction can be so strong as to facilitate  $\phi$  slow roll. Anber, Sorbo '09
- We impose negligible backreaction of  $A$  on background dynamics

---


$$\delta\ddot{\phi} + 3H\delta\dot{\phi} - \frac{\vec{\nabla}^2}{a^2}\delta\phi + m^2\delta\phi = \frac{\alpha}{f} F^{\mu\nu} \tilde{F}_{\mu\nu}$$


  
 FT  
 $J_k$

$$\delta\phi = \delta\phi_{\text{vacuum}} + \delta\phi_{\text{inv.decay}}$$

$$\widehat{\delta\phi}_{\text{inv.decay}}(\eta) = \int d\eta' G_k(\eta, \eta') \widehat{J}_k(\eta')$$

$$G_k = i\theta(\eta - \eta') \delta\phi_k(\eta) \delta\phi_k^*(\eta') + \text{h. c.}$$

- A production from  $\phi$  kinetic energy. Resulting friction can be so strong as to facilitate  $\phi$  slow roll. [Anber, Sorbo '09](#)
- We impose negligible backreaction of  $A$  on background dynamics

---


$$\delta\ddot{\phi} + 3H\delta\dot{\phi} - \frac{\vec{\nabla}^2}{a^2}\delta\phi + m^2\delta\phi = \frac{\alpha}{f} F^{\mu\nu} \tilde{F}_{\mu\nu}$$

$$\delta\phi = \delta\phi_{\text{vacuum}} + \delta\phi_{\text{inv.decay}}$$

FT  
↓  
 $J_k$

$$\widehat{\delta\phi}_{\text{inv.decay}}(\eta) = \int d\eta' G_k(\eta, \eta') \widehat{J}_k(\eta')$$

⇓

$$G_k = i\theta(\eta - \eta') \delta\phi_k(\eta) \delta\phi_k^*(\eta') + \text{h.c.}$$

$$\langle \delta\phi_{\text{vacuum}} \delta\phi_{\text{inv.decay}} \rangle = 0$$

- A production from  $\phi$  kinetic energy. Resulting friction can be so strong as to facilitate  $\phi$  slow roll. [Anber, Sorbo '09](#)
- We impose negligible backreaction of  $A$  on background dynamics

---


$$\delta\ddot{\phi} + 3H\delta\dot{\phi} - \frac{\vec{\nabla}^2}{a^2}\delta\phi + m^2\delta\phi = \frac{\alpha}{f} F^{\mu\nu} \tilde{F}_{\mu\nu}$$

$$\delta\phi = \delta\phi_{\text{vacuum}} + \delta\phi_{\text{inv.decay}}$$

FT  
↓  
 $J_k$

$$\widehat{\delta\phi}_{\text{inv.decay}}(\eta) = \int d\eta' G_k(\eta, \eta') \widehat{J}_k(\eta')$$

⇓

$$G_k = i\theta(\eta - \eta') \delta\phi_k(\eta) \delta\phi_k^*(\eta') + \text{h.c.}$$

$$\langle \delta\phi_{\text{vacuum}} \delta\phi_{\text{inv.decay}} \rangle = 0$$

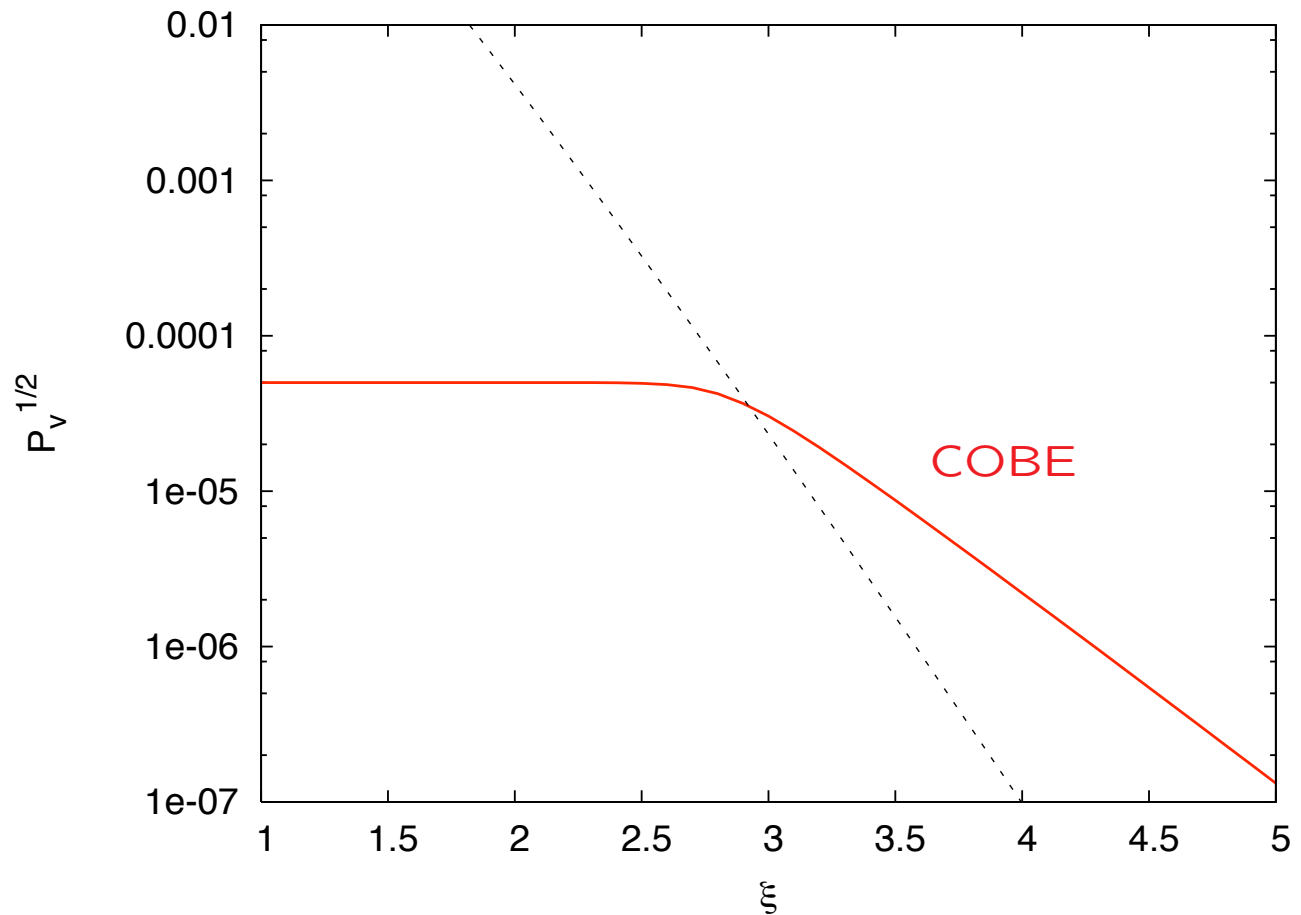
↑  
Same scale dependence



$$P_{\zeta}(k) = \mathcal{P}_v \left( \frac{k}{k_0} \right)^{n_s - 1} \left[ 1 + 7.5 \cdot 10^{-5} \mathcal{P}_v \frac{e^{4\pi\xi}}{\xi^6} \right]$$

$$\mathcal{P}_v^{1/2} \equiv \frac{H}{2\pi|\dot{\phi}|}$$

$$\xi \equiv \frac{\alpha}{f} \frac{|\dot{\phi}|}{2H}$$

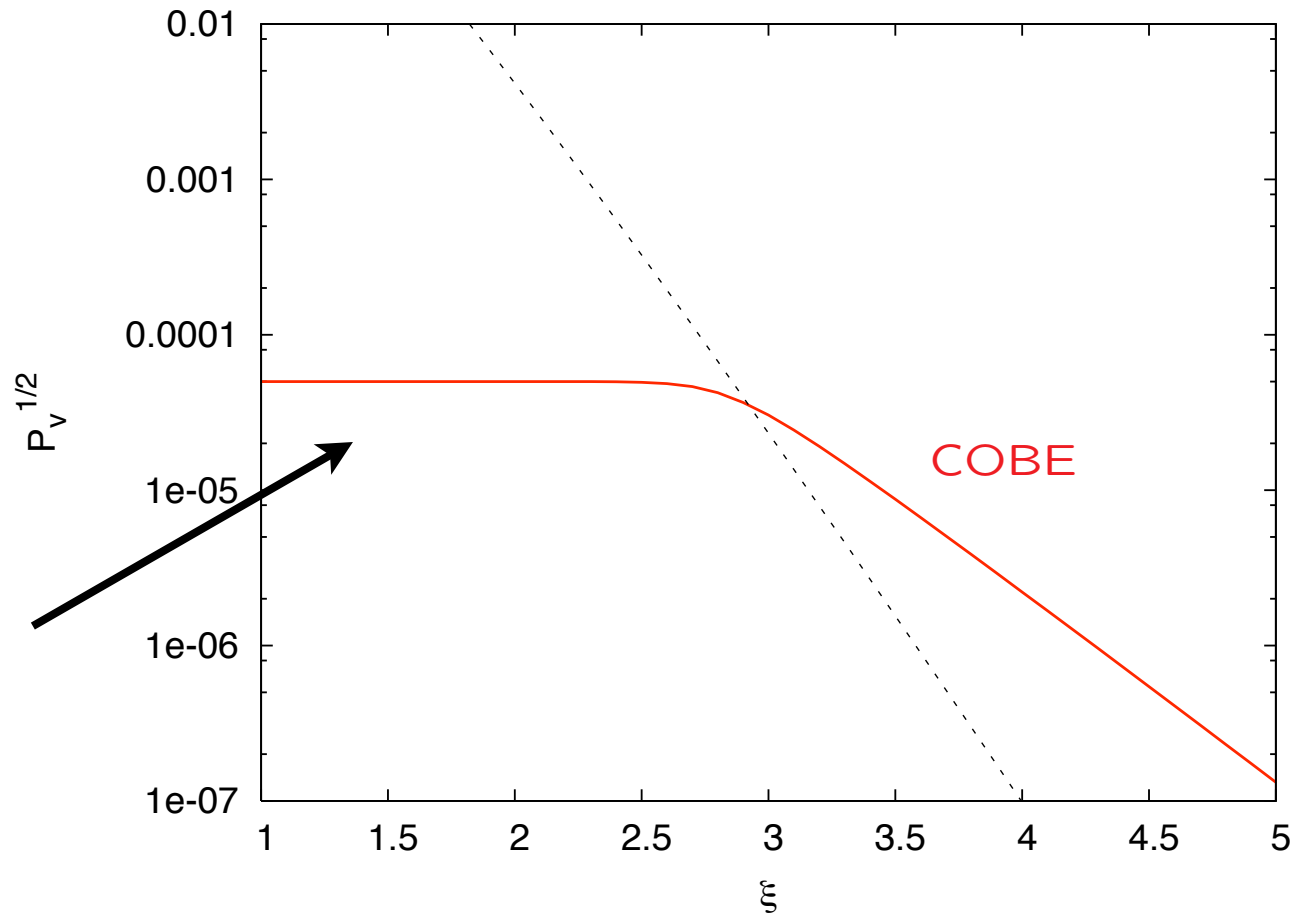


$$P_\zeta(k) = \mathcal{P}_v \left( \frac{k}{k_0} \right)^{n_s-1} \left[ 1 + 7.5 \cdot 10^{-5} \mathcal{P}_v \frac{e^{4\pi\xi}}{\xi^6} \right]$$

$$\mathcal{P}_v^{1/2} \equiv \frac{H}{2\pi|\dot{\phi}|}$$

$$\xi \equiv \frac{\alpha}{f} \frac{|\dot{\phi}|}{2H}$$

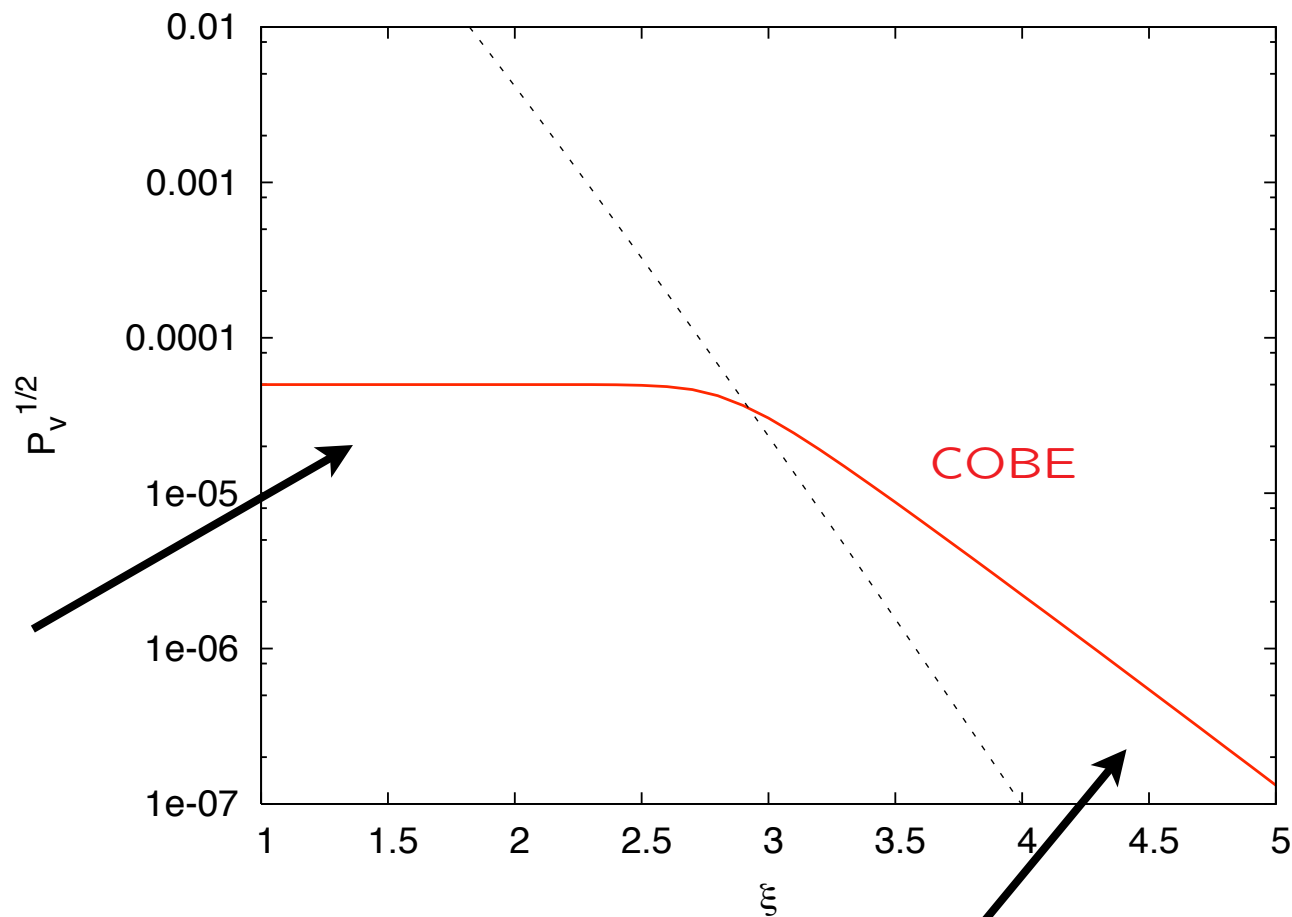
$\delta\phi_{\text{vac}}$  dominates  
standard result



$$P_\zeta(k) = \mathcal{P}_v \left( \frac{k}{k_0} \right)^{n_s - 1} \left[ 1 + 7.5 \cdot 10^{-5} \mathcal{P}_v \frac{e^{4\pi\xi}}{\xi^6} \right]$$

$$\mathcal{P}_v^{1/2} \equiv \frac{H}{2\pi|\dot{\phi}|}$$

$$\xi \equiv \frac{\alpha}{f} \frac{|\dot{\phi}|}{2H}$$



$\delta\phi_{\text{vac}}$  dominates  
standard result

$\delta\phi_{\text{inv.decay}}$  dominates  
need to decrease  $\mathcal{P}_v$  exponentially

Bispectrum  $\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle = B(k_i) \delta^{(3)}(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3)$

Scale invariance  $\Rightarrow B(k_i) = k_1^{-6} B\left(1, \frac{k_2}{k_1}, \frac{k_3}{k_1}\right)$ ,  $x_i \equiv \frac{k_i}{k_1}$

Shape  $S(x_1, x_2) \propto B(1, x_2, x_3) x_2^2 x_3^2$

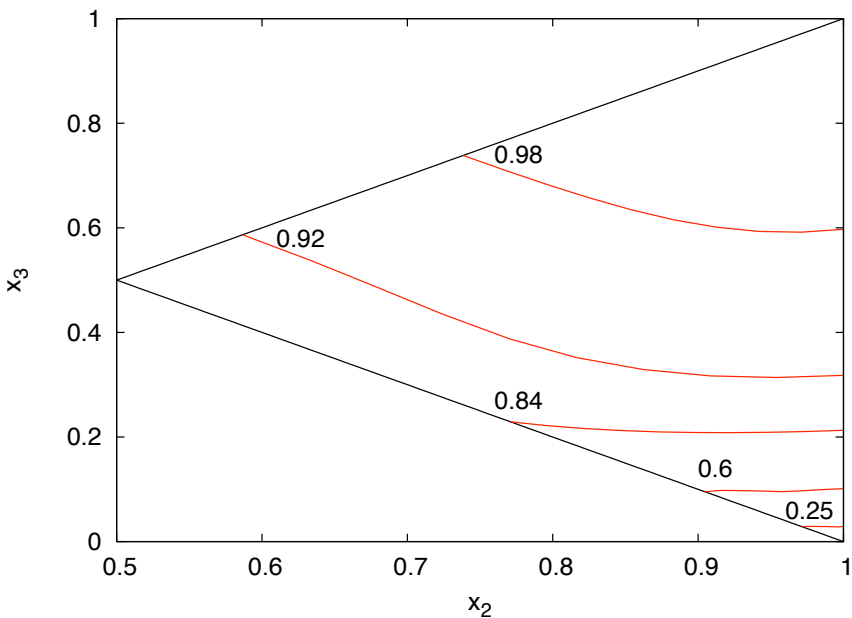
Babich, Creminelli,  
Zaldarriaga '04

**Bispectrum**  $\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle = B(k_i) \delta^{(3)}(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3)$

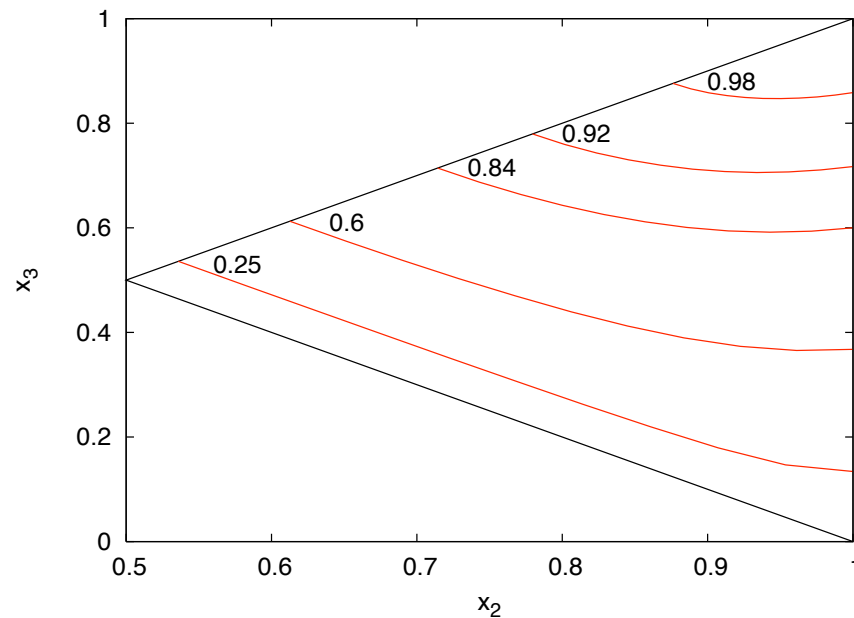
**Scale invariance**  $\Rightarrow B(k_i) = k_1^{-6} B\left(1, \frac{k_2}{k_1}, \frac{k_3}{k_1}\right)$ ,  $x_i \equiv \frac{k_i}{k_1}$

**Shape**  $S(x_1, x_2) \propto B(1, x_2, x_3) x_2^2 x_3^2$  **Babich, Creminelli, Zaldarriaga '04**

**Axion Inflation**



**Equilateral template**



$$x_3 \leq x_2 \leq 1$$

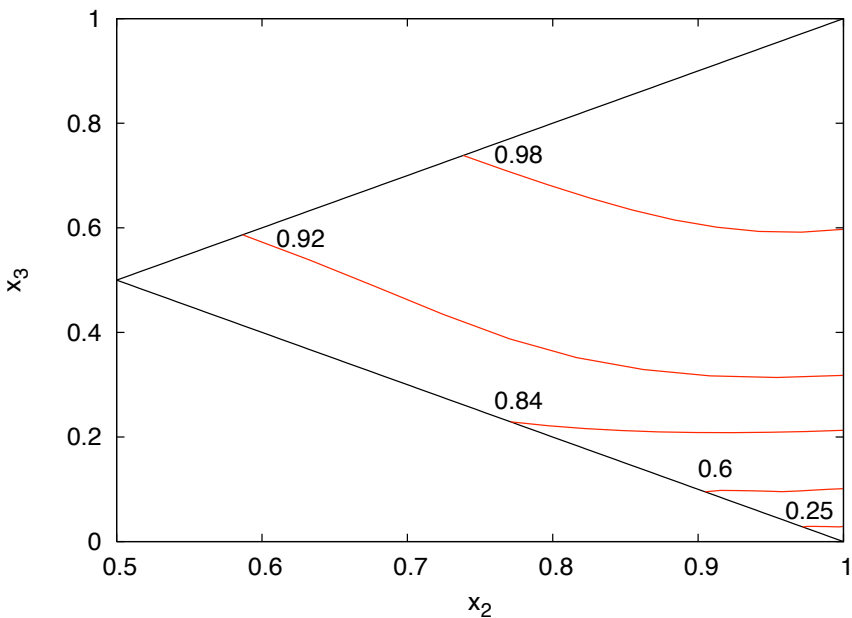
$$x_2 + x_3 \geq 1$$

**Bispectrum**  $\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle = B(k_i) \delta^{(3)}(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3)$

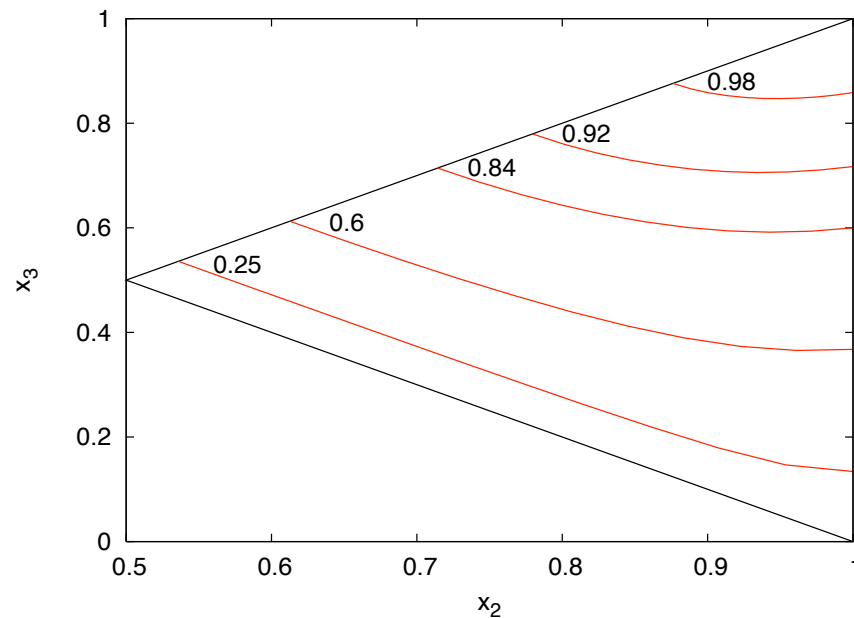
**Scale invariance**  $\Rightarrow B(k_i) = k_1^{-6} B\left(1, \frac{k_2}{k_1}, \frac{k_3}{k_1}\right)$  ,  $x_i \equiv \frac{k_i}{k_1}$

**Shape**  $S(x_1, x_2) \propto B(1, x_2, x_3) x_2^2 x_3^2$  **Babich, Creminelli, Zaldarriaga '04**

**Axion Inflation**



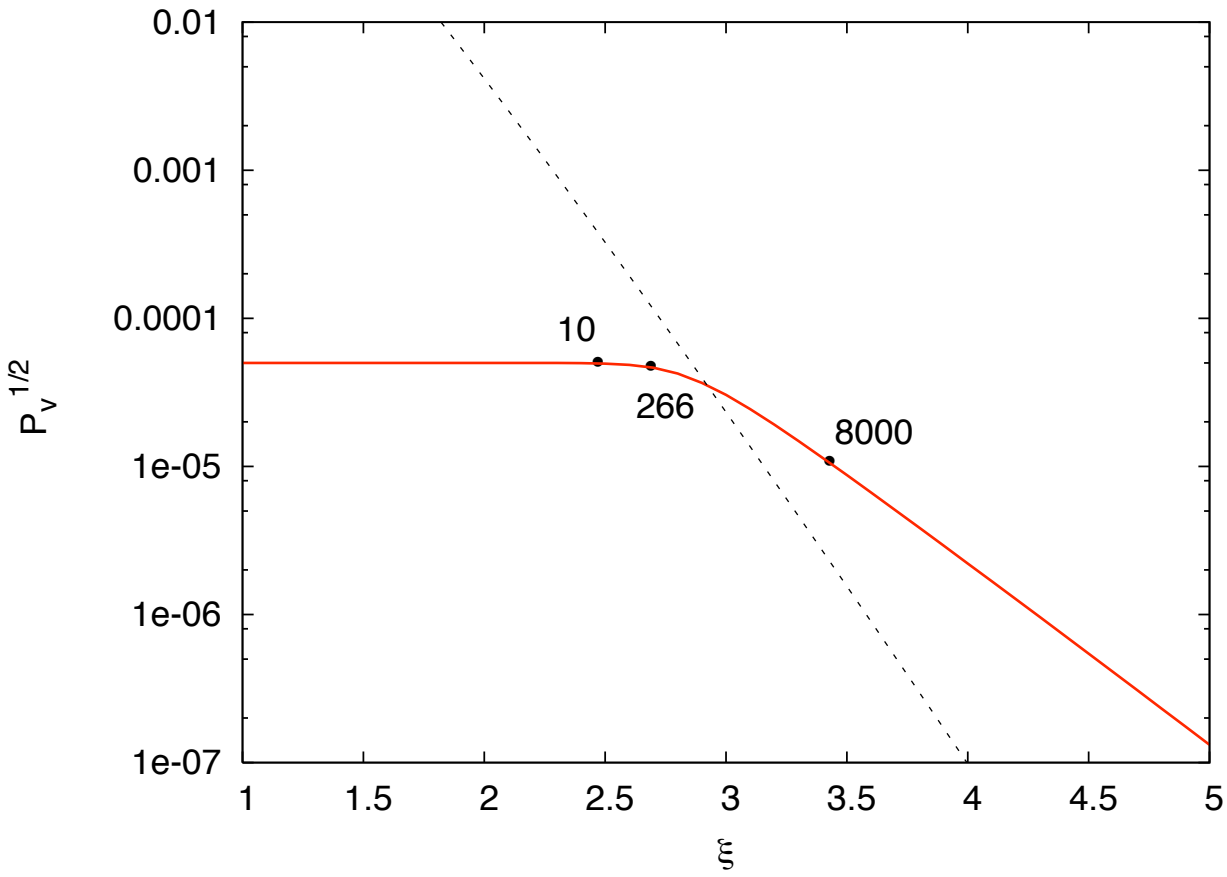
**Equilateral template**



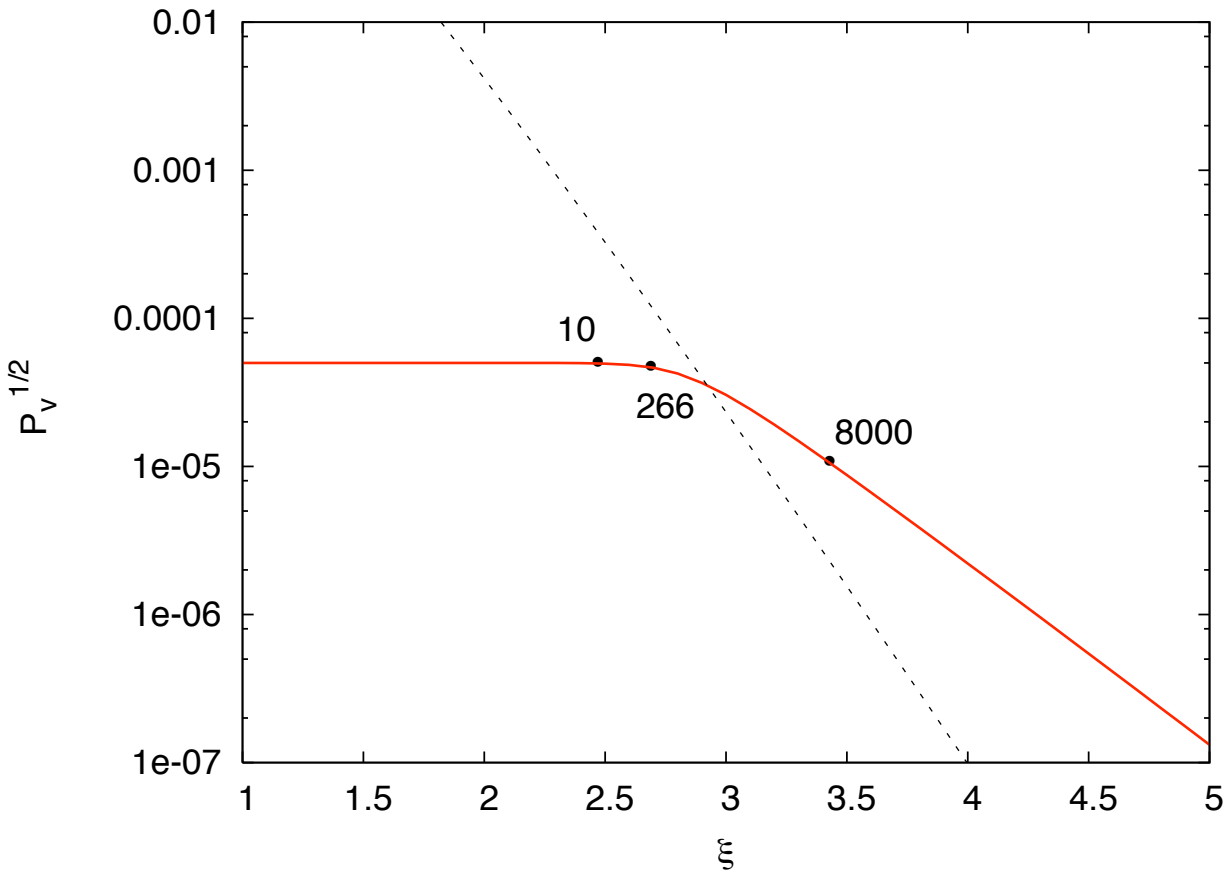
$$x_3 \leq x_2 \leq 1$$

$$x_2 + x_3 \geq 1$$

$\cos(\text{axion inf. , equil.}) = 0.93$  ;  $\cos(\text{axion inf. , orth.}) = -0.15$



$$f_{NL}^{\text{equil}} \simeq 4.4 \cdot 10^{10} \mathcal{P}_v^3 \frac{e^{6\pi\xi}}{\xi^9} + \mathcal{O}(\epsilon, \eta)$$



$$f_{NL}^{\text{equil}} \simeq 4.4 \cdot 10^{10} \mathcal{P}_v^3 \frac{e^{6\pi\xi}}{\xi^9} + \mathcal{O}(\epsilon, \eta)$$

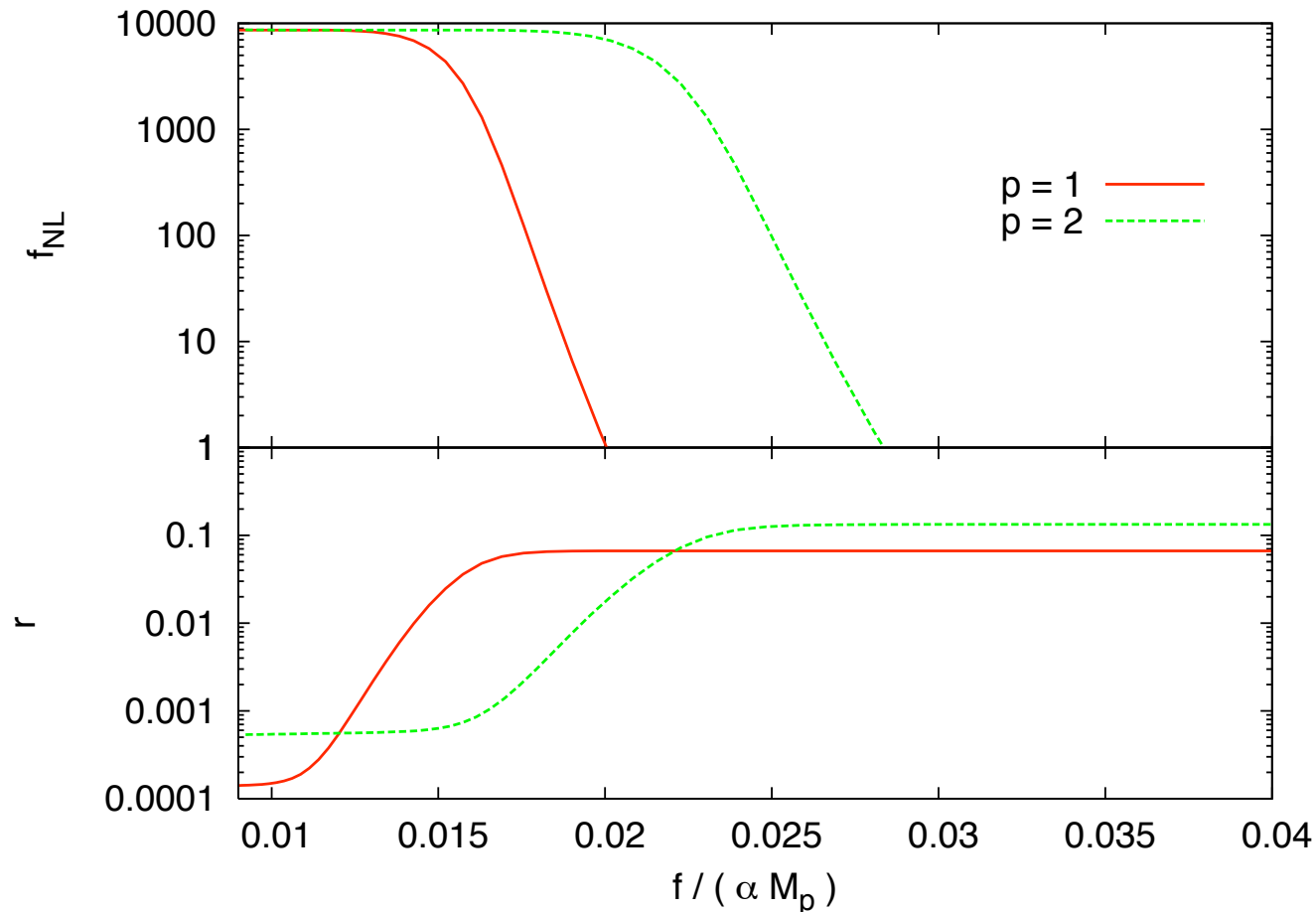
$$P_{\text{GW}} \simeq \frac{2H^2}{\pi^2 M_p^2} \left( \frac{k}{k_0} \right)^{n_T} \left[ 1 + 4.3 \cdot 10^{-7} \frac{H^2}{M_p^2} \frac{e^{4\pi\xi}}{\xi^6} \right]$$

Single helicity  $\rightarrow \langle BE \rangle, \langle BT \rangle$  Sorbo '11 ; Kamionkowski, Souradeep '10

However, strongly subdominant in region allowed by  $f_{\text{NL}}$



So far,  $H$  and  $\dot{\phi}$  unrelated; now specify to  $V \propto \phi^p$



Observational Bounds:

- $-214 < f_{NL}^{\text{equil}} < 266$
- $r \lesssim 0.2$

Detection of both  $r$  and  $f_{NL}$  for  $f \sim 10^{-2} \alpha M_p$

Natural value in controlled realizations of axion inflation